PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.:

09/680,365

Confirmation No.: 8236

Applicant(s):

May

Filed:

10/05/2000

Art Unit:

3624

Examiner:

Jagdish Patel

Title:

SYSTEMS FOR SWITCH AUCTIONS UTILIZING

RISK POSITION PORTFOLIOS OF A PLURALITY OF TRADERS

Docket No.:

038866/204611

Customer No.: 00826

SEP 1 5 2004 GROUP 3600

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

> **AMENDMENT** 37 C.F.R. § 1.121

Sir:

In response to the Office Action dated April 15, 2004, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims beginning on page 2 of this paper.

Remarks/Arguments begin on page 4 of this paper.